

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Toshihisa NAKANO et al. : **Mail Stop: PCT**
Serial No. NEW : **Attorney Docket No. 2006_0673A**
Filed May 10, 2006 :

AUTHENTICATION SYSTEM
[Corresponding to PCT/JP2004/017415
Filed November 24, 2004]

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
 (2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
 the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

- 3 -

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: May 10, 2006

ATTY DOCKET NO.
2006_0673ASERIAL NO
NEW 10/578831APPLICANT
Toshihisa NAKANO et al.FILING DATE
May 10, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/D.P./	AA	2002/0120847	08/02	Kamperman			
/D.P./	AB	2002/0184259	12/02	Akishita et al.			
/D.P./	AC	5,949,877	09/99	Traw et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/D.P./	AJ	2002-135243	05/02	JP			Abstract
/D.P./	AK	11-205305	07/99	JP			Abstract
/D.P./	AL	0 930 556 A2	07/99	EP			
/D.P./	AM	2001-197054	07/01	JP			Abstract
/D.P./	AN	2002-023627	01/02	JP			Abstract
/D.P./	AO	2003-115838	04/03	JP			Abstract
/D.P./	AP	1 414 183 A1	04/04	EP			
/D.P./	AQ	2004-201008	07/04	JP			Abstract
/D.P./	AR	2005/003886 A2	01/05	WO			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

/David Pearson/

DATE CONSIDERED

10/09/2008